1- Introduction

The purpose of this section is to provide the machine manufacturer with a quick overview of a number of standards related to machine safety, to clarify some basic terms and to provide some application examples. This brief guide only covers aspects related to the functional safety of the machine, i.e., all measures that must be taken to protect the operating personnel from the hazards arising from the operation of the machine, as well as the project planning and selection of the appropriate interlocking devices for the given guard.

The machine designer himself must identify risks that are posed by other hazards, such as live parts, pressurised containers, explosive atmospheres, etc. These risks are not dealt with in this guideline.

Pizzato Eletrica prepared this document to the best of its knowledge, taking into consideration the standards, interpretations and existing technologies. The examples provided here must always be considered by the end customer with respect to the latest state of technology and standardisation. Pizzato Eletrica accepts no responsibility for the examples provided here and does not exclude the possibility of unintentional errors or inaccuracies.

2 - Design in safety. Structure of the European standards.

To freely market any type of device or machine in the countries of the European Community, they must comply with the provisions of the EU directives. They establish the general principles for ensuring that manufacturers place products on the market that are not hazardous to the operating personnel. The vast range of products pose many different hazards and, over time, has led to the release of various directives. As an example, consider the Low Voltage Directive 2014/35/EU, the Equipment for Explosive Atmospheres (ATEX) Directive 2014/34/EU, the Electromagnetic Compatibility Directive 2014/30/EU, etc. The hazards that arise from the operation of machinery are described in the Machinery Directive 2006/42/EC.

Conformity with the directives is certified by the Declaration of Conformity issued by the manufacturer and by the application of the CE marking on the machine.

For the assessment of risks posed by a machine and for the realisation of the safety systems for protecting the operating personnel from those risks, the European standardisation organisations CEN and CENELEC have issued a series of standards which translate the contents of the directives into technical requirements. The standards published in the Official Journal of the European Union are harmonised. The manufacturer is to verify conformity with the applied and listed standards.

The machine safety standards are divided into three types: A, B and C.

Type A standards: Standards that cover basic concepts and general principles for design in order to achieve safety in the design of machinery.

Type B standards: Standards that deal with one or more safety aspects and are divided into the following standards:
B1: Standards on particular safety aspects (e.g. safety distances, temperature, noise, etc.)
B2: Standards on safeguards (e.g. two-hand controls, interlocking devices, guards, etc.)

Type C standards: Standards that deal with detailed safety requirements for a particular group of machines (e.g. hydraulic presses, injection moulding machines, etc.)

The system or machine manufacturer must therefore determine whether the product is covered by a type C standard. If this is the case, this standard specifies the safety requirements; otherwise, the type B standards shall apply for any specific aspect or device of the product. In the absence of specifications, the manufacturer shall follow the general guidelines stated in the type A standards.

3 - Designing safe machines. Risk analysis.

The first step in producing a safe machine is to identify the possible hazards to which the operators of a machine are exposed. The identification and classification of the hazards allows the risk for the operator or the combination of the probability of a hazard and the possible injury to be determined.

The methodology for risk analysis and evaluation and the procedure for the elimination/reduction of risks is defined by standard EN ISO 12100. This standard introduces a cyclic analysis model: starting with the initial objectives, the risk analysis and the various possibilities for reducing these risks are repeatedly evaluated until the initial objective is met.

The model introduced in this standard specifies that one proceed as follows after performing a risk analysis to reduce or eliminate risks:
1) Elimination of risks at their source through the use of intrinsically safe design principles and the structural set-up of the systems
2) Risk reduction through safeguarding and monitoring systems
3) Identification of residual risks though signalling and by informing the operating personnel.

Since every machine has hazards and because it is not possible to eliminate all possible risks, the objective is to reduce the residual risks to an acceptable level.

TYPE A STANDARDS
For example:

TYPE B1 STANDARDS
For example:
EN 62061. Safety of machinery - Functional safety of safety-related electrical, electronic and programmable electronic control systems
EN ISO 13849-1 e-2. Safety-related parts of control systems

TYPE B2 STANDARDS
For example:
EN 574. Two-hand control devices
EN ISO 13850. Emergency stop
EN ISO 14119. Interlocking devices associated with guards
EN 60204-1. Electrical equipment of machines
EN 60947-5-1. Electromechanical control circuit devices

TYPE C STANDARDS
For example:
EN 201. Plastics and rubber machines - Injection moulding machines
EN 415-1. Safety of packaging machines
EN 692. Mechanical presses
EN 693. Hydraulic presses
EN 848-1. Safety of wood-working machines – One side spindle vertical moulding machines
If a risk is reduced by means of a monitoring system, standard EN ISO 13849-1, which provides an evaluation model for the quality of this system, comes into play. If a given level is specified for a risk, it is possible to use a safety function of equal or higher level.
**4- Design and selection of interlocking devices associated with guards (standard EN ISO 14119)**


The standard is intended for manufacturers of interlocking devices as well as machine manufacturers (and integrators) and describes the requirements on the devices and their correct installation.

The new standard provides clarification to a number of questions that are not always clear cut and considers the latest technologies used in the design of interlocking devices, defines a number of parameters (actuator type and level of coding) and describes the procedure for correct installation with the goal of minimizing the defeat possibilities of the interlocking devices.

The standard also considers other aspects related to interlocking devices (e.g. guard locking principles, electromagnetic guard locking, auxiliary release, escape and emergency release, etc.) which are not described here.

**Coding level of the actuators**

An important new addition to the standard is the definition of a coded actuator and the classification of the coding levels:

- **coded actuator** – actuator which was specially designed for use with a specific interlocking device;
- **low level coded actuator** – coded actuator for which 1 to 9 variations in code are available (e.g. the SR magnetic switch series or the safety switches with separate actuator and mechanical detection FS, FG, FR, FD…);
- **medium level coded actuator** – coded actuator for which 10 to 1000 variations in code are available;
- **high level coded actuator** – coded actuator for which more than 1000 variations are available (e.g. the ST series sensors with RFID technology or the interlocking devices of the NG series with RFID technology and guard locking).

**Types of interlocking devices**

Standard EN ISO 14119 defines different types of interlocking devices:

- **Type 1 interlocking device** – interlocking device that is mechanically actuated by an uncoded actuator (e.g. HP series hinged interlocking devices)
- **Type 2 interlocking device** – interlocking device that is mechanically actuated by a coded actuator (e.g. safety switches with separate actuator of the FR, FS, FG, … series)
- **Type 3 interlocking device** – interlocking device that is contactlessly actuated by an uncoded actuator
- **Type 4 interlocking device** – interlocking device that is contactlessly actuated by a coded actuator (e.g. ST series safety sensors with RFID technology and NG and NS series safety switches with RFID technology)

<table>
<thead>
<tr>
<th>Examples of actuation principles</th>
<th>Actuator examples</th>
<th>Type</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Mechanical</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Direct contact/force</td>
<td>Uncoded</td>
<td>Type 1</td>
</tr>
<tr>
<td></td>
<td>Linear cam</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Hinge</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Coded</td>
<td>Type 2</td>
</tr>
<tr>
<td></td>
<td>Key-actuated</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Trapped key</td>
<td></td>
</tr>
</tbody>
</table>

| **Non-contact**                  |                   |      |
| Inductive                        | Uncoded           | Type 3 |
| Magnetic                         | Ferromagnetic material |      |
| Capacitive                       | Magnet, solenoid  |      |
| Ultrasonic                       | Any suitable object |      |
| Optic                            | Any suitable object |      |
| Magnetic                         | Any suitable object |      |
| RFID                             | Coded              | Type 4 |
| Optic                            | Coded magnet       |      |
|                                  | Coded RFID tag     |      |
|                                  | Optically coded tag |      |

Excerpt from EN ISO 14119 - Table 1
Requirements for the design and the installation of interlocking devices according to EN ISO 14119 to reduce defeating of guards.

<table>
<thead>
<tr>
<th>Principles and measures against defeating</th>
<th>Type 1 devices</th>
<th>Type 2 and type 4 devices (low level coded actuators)</th>
<th>Type 2 and type 4 devices (high level coded actuators)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Installation out of reach (1)</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Barriers or shielding (2)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Installation in hidden position (3)</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Testing by means of control circuit (4)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-detachable fixing of position switch and cam</td>
<td>M</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-detachable fixing of position switch</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-detachable fixing of the actuation element or cam</td>
<td>M</td>
<td></td>
<td>M</td>
</tr>
<tr>
<td>Additional position sensing and plausibility check</td>
<td>R</td>
<td></td>
<td>R</td>
</tr>
</tbody>
</table>

X: mandatory to apply at least one of the measures listed in the “Principles and measures” column
M: mandatory measure
R: recommended measure

Excerpt from EN ISO 14119 - Table 3

It is clear that the use of devices with RFID technology, high coding level and hinged switches is the easiest way to meet the requirements of EN ISO 14119, as it is only necessary to fulfil a few requirements in order to prevent defeating of guards.

Devices with low or medium coding level require additional measures to ensure a tamperproof application.

Guard locking devices and holding force

The manufacturer of the interlocking device with guard locking must ensure that the device can withstand at least the measured holding force $F_{Zh}$ while the interlock is engaged. This holding force must not exceed the maximum holding force divided by a safety coefficient equal to 1.3.

Example: A device with maximum holding force of $F_{Zh} = 2000$ N must pass a test with a maximum holding force equal to $F_{max} = 2600$ N.

An interlocking device with guard locking can both monitor the position of the guard (open/closed) as well as lock the guard (locked/unlocked). Each of the two functions may require a different PL safety level (acc. to EN ISO 13849-1). The guard locking function generally requires a lower PL than the position monitoring function. (See paragraph 8.4, note 2 of EN ISO 14119).

To identify whether an interlocking device also performs status monitoring, the standard specifies that the product label includes the symbol shown to the side here.
5 - Current status of the standards. Reason for changes, new standards and some overlapping

The “traditional” standards for functional safety, such as EN 954-1, played a large part in formalising some of the basic principles for the analysis of safety circuits on the basis of deterministic principles. On the other hand, they make no mention of the topic of programmable electronic control systems and are not generally in line with the current state of technology. To take programmable electronic control systems into account in the analysis of safety circuits, the approach taken by current standards is fundamentally probabilistic and introduces new statistical variables.

This approach is based on IEC 61508, which deals with the safety of complex programmable electronic systems and is very extensive (divided into 8 sections with nearly 500 pages). It is also used in a diverse range of application fields (chemical industry, machine construction, nuclear plants) and is therefore classified as a type A standard (not harmonised). This standard introduces the SIL concept (Safety Integrity Level), a probabilistic indication of a system’s residual risk.

From IEC 61508 comes EN 62061, which covers the functional safety of the complex electronic or programmable control systems in industrial applications. The concepts introduced here permit general use for any safety-related electrical, electronic and programmable electronic control systems (systems with non-electrical technologies are not covered).

EN ISO 13849-1, developed by CEN under the aegis of ISO, is also based on this probabilistic approach. This standard, however, attempts to structure the transition to the concepts in a less problematic way for the manufacturer, who is accustomed to the concepts of EN 954-1. The standard covers electromechanical, hydraulic, “non-complex” electronic systems and some programmable electronic systems with predefined structures. EN ISO 13849-1 is a type B1 standard and introduces the PL concept (Performance Level); as with SIL, the concept provides a probabilistic indication of a machine’s residual risk. This standard points out a correlation between SIL and PL; concepts borrowed by EN 61508 – such as DC and CCF – are used and a connection to the safety categories of EN 954-1 is established.

In the area of functional safety for the safety of control circuits, there are thus two standards presently in force:
EN ISO 13849-1. Standard type B1, which uses the PL concept.
EN 62061. Standard type B1, which uses the SIL concept.

Important note
EN 13849-1 is a type B1 standard; if a type C standard is already applied for a machine, the type C standard is to be used. All type C standards previously developed are based on the concepts of EN 954-1. For manufacturers of machines that are covered by a type C standard, the introduction time of the new standards depends on how quickly the various technical committees update the C standards.

There is clear overlapping of the two standards EN 62061 and EN ISO 13849-1 concerning their application field and many aspects are similar; there is also a link between the two symbol names (SIL and PL), which indicate the result of the analyses according to the two standards.

<table>
<thead>
<tr>
<th>PL</th>
<th>EN ISO 13849-1</th>
</tr>
</thead>
<tbody>
<tr>
<td>SIL</td>
<td>EN 62061 - IEC 61508</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>a</th>
<th>b</th>
<th>c</th>
<th>d</th>
<th>e</th>
</tr>
</thead>
<tbody>
<tr>
<td>b</td>
<td>-</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>c</td>
<td>from $10^4$ to $10^5$</td>
<td>from $10^5$ to $3 \times 10^6$</td>
<td>from $3 \times 10^6$ to $10^7$</td>
<td>from $10^7$ to $10^8$</td>
<td>from $10^8$ to $10^9$</td>
</tr>
<tr>
<td>d</td>
<td>from $-1$ to $-10$</td>
<td>from $-10$ to $-40$</td>
<td>from $-40$ to $-100$</td>
<td>from $-100$ to $-1000$</td>
<td>from $-1000$ to $-10000$</td>
</tr>
</tbody>
</table>

A hazardous failure every n years

The choice of the standard to be applied is left to the manufacturer according to the technology that is used. We believe that standard EN ISO 13849-1 is easier to use thanks to its mediatory approach and the re-utilisation of the concepts already introduced on the market.
6- Standard EN ISO 13849-1 and the new parameters: PL, MTTF_d, DC, CCF

Standard EN ISO 13849-1 offers the manufacturer an iterative method for assessing whether the hazards posed by a machine can be reduced to an acceptable residual level through the use of appropriate safety functions. The applied method specifies a hypothesis-analysis-validation cycle for each risk. Once completed, it must be possible to demonstrate that every selected safety function is appropriate for the respective risk.

The first step involves the determination of the required performance level, which is required of each safety function. Like EN 954-1, EN ISO 13849-1 also uses a risk graph for the risk analysis of a machine function (figure A.1). Instead of a safety category, however, this graph is used to determine – as a function of the risk – a Required Performance Level or PLr for the safety function which protects the respective part of the machine.

Starting with point 1 of the graph, the machine manufacturer answers questions S, F and P and can then determine the PLr for the safety function being examined. He must then develop a system with a performance level PL that is equal to or greater than that which is required to protect the operating personnel.

**Risk graph for determining the required PLr for the safety function (excerpt from EN ISO 13849-1, figure A.1)**

**Key**

1 Starting point for the evaluation of the safety function’s contribution to risk reduction

L Low contribution to risk reduction

H High contribution to risk reduction

PL Required performance level

* F1 should be selected if the total duration of the exposure to the hazard does not exceed 1/20 of the total work time and the frequency of exposure to the hazard does not exceed once every 15 minutes

** F2 should be selected if the frequency of exposure to the hazard is greater than once every 15 minutes.

**Note:** For a machine manufacturer, it may be of interest to forego repeating the risk analysis of the machine and to instead try and reuse the data already derived from the EN 954-1 risk analysis. This is not generally possible, since the risk graph changed with the new standard (see previous figure) and, as a result, the required performance level of the safety function may have changed with identical risks. The German Institute for Occupational Safety and Health (BGIA), in its report 2008/2 on EN ISO 13849-1, recommends the following: assuming the “worst case”, implementation can occur according to the following table. For further information, refer to the mentioned report.

<table>
<thead>
<tr>
<th>Category required by EN 954-1</th>
<th>Required performance level (PLr) and category acc. to EN ISO 13849-1</th>
</tr>
</thead>
<tbody>
<tr>
<td>B</td>
<td>→ b</td>
</tr>
<tr>
<td>1</td>
<td>→ c</td>
</tr>
<tr>
<td>2</td>
<td>→ d, Category 2</td>
</tr>
<tr>
<td>3</td>
<td>→ d, Category 3</td>
</tr>
<tr>
<td>4</td>
<td>→ e, Category 4</td>
</tr>
</tbody>
</table>

There are five performance levels, from PL a to PL e, with increasing risk; each represents a numerical range for the average probability of a dangerous failure per hour. For example, PL d specifies that the average probability of dangerous failures per hour is between 1x10^-6 and 1x10^-7, i.e., about 1 dangerous failure every 100-1000 years.

<table>
<thead>
<tr>
<th>PL</th>
<th>Average probability of dangerous failures per hour PFHd (1/h)</th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>≥ 10^-5 e &lt; 10^-4</td>
</tr>
<tr>
<td>b</td>
<td>≥ 3 x 10^-6 e &lt; 10^-5</td>
</tr>
<tr>
<td>c</td>
<td>≥ 10^-6 e &lt; 3x10^-6</td>
</tr>
<tr>
<td>d</td>
<td>≥ 10^-7 e &lt; 10^-6</td>
</tr>
<tr>
<td>e</td>
<td>≥ 10^-8 e &lt; 10^-7</td>
</tr>
</tbody>
</table>

Several parameters are needed to determine the PL of a control system:
1. The safety category of the system, which is dependent on the architecture (structure) of the control system and its behaviour in the event of damage
2. MTTF_d of the components
3. DC or Diagnostic Coverage of the system.
4. CCF or Common Cause Failures.
Introduction to safety engineering

Safety category.
Most control circuits normally used can be represented with the following logic components:
• Input or signal input
• Logic or signal processing logic
• Output or output of the monitoring signal

These are connected to one another differently depending on the structure of the control circuit.

EN ISO 13849-1 allows for five different basic circuit structures, referred to as the designated architectures of the system. As shown in the following table, the architectures – combined with the requirements on the system behaviour in the event of failure and the minimum values of $MTTF_d$, $DC$, and $CCF$ – give the safety category of the system control. Thus, the safety categories of EN ISO 13849-1 are not the equivalent, but rather extend the concept of the safety category introduced by the previous standard EN 954-1.

<table>
<thead>
<tr>
<th>Category</th>
<th>Summary of the requirements</th>
<th>System behaviour</th>
<th>Safety principles</th>
<th>$MTTF_d$</th>
<th>$DC_{avg}$</th>
<th>CCF</th>
</tr>
</thead>
<tbody>
<tr>
<td>B</td>
<td>Safety-related parts of monitoring systems and/or their protective equipment, as well as their accessories, must be designed, constructed, selected, assembled and combined in accordance with the relevant standards so that they can withstand the expected influences. Fundamental safety principles must be used.</td>
<td>The occurrence of a fault can lead to the loss of the safety function.</td>
<td>Mainly determined by the selection of components</td>
<td>Low to medium</td>
<td>None</td>
<td>Not relevant</td>
</tr>
<tr>
<td></td>
<td>Architecture:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>In addition to the requirements of Category B, proven components and safety principles must be used.</td>
<td>The occurrence of a fault can lead to the loss of the safety function; the probability of fault occurrence is, however, lower than for Category B.</td>
<td>Mainly determined by the selection of components</td>
<td>High</td>
<td>None</td>
<td>Not relevant</td>
</tr>
<tr>
<td></td>
<td>Architecture:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Requirements of Category B and proven safety principles must be used. The safety function must be checked at appropriate intervals by the control system.</td>
<td>The occurrence of a fault between two checks can lead to the loss of the safety function. The loss of the safety function is detected through the check.</td>
<td>Determined mainly by the structure</td>
<td>Low to high</td>
<td>Low to medium</td>
<td>See Annex F</td>
</tr>
<tr>
<td></td>
<td>Architecture:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Requirements of Category B and proven safety principles must be used. Important safety-related parts must be designed so that: - A single fault in any of these parts does not lead to the loss of the safety function. - Where reasonably practicable, the single fault is detected.</td>
<td>If a single fault occurs, the safety function is always performed. Some, but not all faults are detected. Accumulation of undetected faults can lead to the loss of the safety function.</td>
<td>Determined mainly by the structure</td>
<td>Low to high</td>
<td>Low to medium</td>
<td>See Annex F</td>
</tr>
<tr>
<td></td>
<td>Architecture:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Requirements of Category B and proven safety principles must be used. Important safety-related parts must be designed, so that: - a single fault in any of these parts does not lead to the loss of the safety function, and - a single fault during or before the next request for the safety function is detected. If this is not possible, the accumulation of undetected faults must not lead to the loss of the safety function.</td>
<td>If a single fault occurs, the safety function is always performed. The detection of accumulated faults reduces the probability of the loss of the safety function (high DC). The faults are detected in time to prevent the loss of the safety function.</td>
<td>Determined mainly by the structure</td>
<td>High</td>
<td>High (including accumulation of faults)</td>
<td>See Annex F</td>
</tr>
<tr>
<td></td>
<td>Architecture:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
MTTF\(_D\) ("Mean Time To Dangerous Failure").

This parameter is used to determine the functional system quality over the mean lifetime in years before a dangerous failure occurs (other failures are not considered). The calculation of the MTTF\(_D\) is based on numerical values supplied by the manufacturers of the individual components of the system. In the absence of this data, the values can be taken from the tables with guide values included in the standard (EN ISO 13849-1 Annex C). The evaluation results in a numerical value, divided into three categories: High, Medium or Low.

<table>
<thead>
<tr>
<th>Classification</th>
<th>Values</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not acceptable</td>
<td>MTTF(_D)&lt; 3 years</td>
</tr>
<tr>
<td>Low</td>
<td>3 years (\leq) MTTF(_D)&lt; 10 years</td>
</tr>
<tr>
<td>Medium</td>
<td>10 years (\leq) MTTF(_D)&lt; 30 years</td>
</tr>
<tr>
<td>High</td>
<td>30 years (\leq) MTTF(_D)&lt; 100 years</td>
</tr>
</tbody>
</table>

For components that are susceptible to high wear (typical for mechanical and hydraulic devices), the manufacturer supplies the value B\(_{10D}\) for the component, i.e., the number of component operations within which 10% of the samples failed dangerously, instead of the MTTF\(_D\) of the component. The B\(_{10D}\) value of the component must be converted to MTTF\(_D\) by the machine manufacturer using the following formula:

\[
MTTF_D = \frac{B_{10D}}{0.1 \cdot n_{op}}
\]

Where \(n_{op}\) = means number of annual operations for the component.

By assuming the daily operating frequency and the daily operating hours for the machine, \(n_{op}\) can be calculated as follows:

\[
n_{op} = \frac{d_{op} \cdot h_{op} \cdot 3600 \text{s/h}}{t_{cycle}}
\]

where

- \(d_{op}\) = work days per year
- \(h_{op}\) = operating hours per day
- \(t_{cycle}\) = cycle time (s)

For components that are susceptible to wear, note that parameter MTTF\(_D\) is dependent not only on the component itself but also on the application. An electromechanical device with low frequency of use, e.g. a remote switch that is only used for emergency stops, has a high MTTF\(_D\); if the same device is used for normal processes in the operating cycle, the MTTF\(_D\) of the same remote switch could drop dramatically.

All elements of the circuit contribute to the calculation of the MTTF\(_D\) depending on their structure. In control systems with single-channel architecture (as is the case in categories B, 1 and 2), the contribution of each components is linear and the MTTF\(_D\) of the channel is calculated as follows:

\[
\frac{1}{MTTF_D} = \sum_{i=1}^{N} \frac{1}{MTTF_D_i}
\]

To avoid overly optimistic designs, the maximum value of the MTTF\(_D\) of each channel is limited to 100 years (for categories B, 1, 2 and 3) or 2500 years (category 4). Channels with an MTTF\(_D\) of less than 3 years are not allowed.

For two-channel systems (categories 3 and 4), the MTTF\(_D\) of the circuit is calculated by averaging the MTTF\(_D\) of the two channels using the following formula:

\[
MTTF_D = \frac{2}{3} \left( \frac{1}{MTTF_{D1}} + \frac{1}{MTTF_{D2}} \right) - \frac{1}{MTTF_{D1}} + \frac{1}{MTTF_{D2}}
\]

DC ("Diagnostic Coverage").

This parameter provides information on the effectiveness of a system’s ability to self-detect any possible failures within the system. Using the percentage of the detectable dangerous failures, one obtains a diagnostic coverage of better or worse quality. The numerical DC parameter is a percentage value which is calculated using values taken from a table (EN ISO 13849-1 Annex E). Depending on the measures for failure detection taken by the manufacturer, example values are provided there. Because multiple measures are normally taken to rectify different anomalies in the same circuit, an average value or a DC\(_{avg}\) is calculated and can be assigned four levels:

- High: \(\text{DC}_{avg} \geq 99\%\)
- Medium: \(90\% \leq \text{DC}_{avg} < 99\%\)
- Low: \(60\% \leq \text{DC}_{avg} < 90\%\)
- None: \(\text{DC}_{avg} < 60\%\)

A diagnostic coverage of none is only permissible for systems of category B or 1.

CCF ("Common Cause Failures")

For the calculation of the PL for systems of category 2, 3 or 4, it is also necessary to evaluate possible common cause failures or CCF, which may compromise the redundancy of the system. The evaluation is performed using a checklist (Annex F of EN ISO 13849-1); on the basis of the measures taken against common cause failures, points from 0 to 100 are assigned. The minimum permissible value for categories 2, 3 and 4 is 65 points.
After determining this data, EN ISO 13849-1 gives the PL of the system using an assignment table (EN ISO 13849-1) or, alternatively, using a simplified graphic (EN ISO 13849-1, paragraph 4.5) as shown in the following.

Relationships between the categories, DC\textsubscript{avg}, MTTF\textsubscript{c} of each channel and PL (acc. to EN ISO 13849-1, figure 5)

This figure is very useful, as it can be read from multiple points of view. For a given PL\textsubscript{r}, it shows all possible solutions with which this PL can be achieved, i.e., the possible circuit structures that provide the same PL.

Considering the figure more closely, it is seen that the following possibilities exist for a system with PL equal to “c”:

1. Category 3 system with less reliable components (MTTF\textsubscript{c}=low) and medium DC.
2. Category 3 system with reliable components (MTTF\textsubscript{c}=medium) and low DC.
3. Category 2 system with reliable components (MTTF\textsubscript{c}=medium) and medium DC.
4. Category 2 system with reliable components (MTTF\textsubscript{c}=medium) and low DC.
5. Category 1 system with very reliable components (MTTF\textsubscript{c}=high).

Considering a given circuit structure, in this figure one can also identify the maximum PL that can be reached depending on the average diagnostic coverage and the MTTF\textsubscript{c} of the components. Thus, the manufacturer can exclude a number of circuit structures in advance, as they do not meet the required PL\textsubscript{r}.

However, the figure is not usually used to determine the PL of the system since the graphic areas overlap the boundaries of the different PL levels in many cases. Instead, the table in Annex K of standard EN ISO 13849-1 is used to precisely determine the PL of the circuit.
**Introduction to safety engineering**

### Table of safety parameters

The B_{10D} data in the table refers to the mechanical life of the device contacts under normal ambient conditions. The NO contacts may only be used in the safety circuits in combination with an NC contact and must be monitored (e.g. using a module or a safety PLC). The value of B_{10D} for NC and NO contacts refers to a maximum electrical load of 10% of the current value specified in the utilisation category. Mission time (for all articles listed below): 20 years.

#### Electromechanical control devices

<table>
<thead>
<tr>
<th>Series</th>
<th>Article description</th>
<th>B_{10D} (NO)</th>
<th>B_{10D} (NC)</th>
<th>B_{10D}/B_{10}</th>
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<tbody>
<tr>
<td>Fx</td>
<td>Position switches</td>
<td>1,000,000</td>
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<td>Fx ***</td>
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#### ATEX series

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<td>Fx ****.EX</td>
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#### Electronic devices

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<th>CL</th>
<th>PL</th>
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<td>RFID safety switches with lock</td>
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<td>e</td>
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</table>

- B_{x} – Number of operations after which 10% of the components have failed dangerously
- B_{x}/B_{10D} – ratio of total failures to dangerous failures
- DC – Diagnostic Coverage
- MTTF_{DF} – Mean Time To Dangerous Failure
- PFH_{L} – Probability of Dangerous Failure per hour
- SIL: Safety Integrity Level Claim Limit. Maximum achievable SIL according to EN 62061
- PL – Performance Level. PL acc. to EN ISO 13849-1
<table>
<thead>
<tr>
<th>Code</th>
<th>Article description</th>
<th>MTTFD</th>
<th>DC</th>
<th>PFH&lt;sub&gt;H&lt;/sub&gt;</th>
<th>SIL CL</th>
<th>PL</th>
<th>Cat</th>
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<td>382</td>
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<td>438</td>
<td>H</td>
<td>7.42E-09</td>
<td>3</td>
<td>e 4</td>
<td></td>
</tr>
</tbody>
</table>

B - Number of operations after which 10% of the components have failed dangerously
B<sub>D</sub> - Number of operations after which 10% of the components have failed
dependent on the base module
B<sub>B</sub>/B<sub>D</sub> - ratio of total failures to dangerous failures.
MTTF<sub>D</sub> - Mean Time To Dangerous Failure
DC - Diagnostic Coverage
PFH<sub>H</sub> - Probability of Dangerous Failure per hour
SIL CL - Safety Integrity Level Claim Limit. Maximum achievable SIL according to EN 62061
PL - Performance Level. PL acc. to EN ISO 13849-1

Dependent on the base module
EXAMPLE 1
Application: Guard monitoring

Description of the safety function
The control circuit illustrated above has a guard monitoring function. If the guard is open the engine must not be able to start. The hazard analysis showed that the system has no inertia or rather that the engine, once the power has been switched off, stops at a much faster rate than the opening of the guard. The risk analysis has shown that the required PL target is PL_c. This is necessary to verify if the intended control circuit with single channel structure is provided with a PL higher or equal to PL_r. The guard position is detected by the switch with separate actuator SS1, which operates directly on the contactor KM1. The contactor KM1 monitoring the moving parts is usually activated by the Start and Stop buttons. Though, the analysis of the working cycle has shown that the guard is opening at every switching operation too. Therefore, the number of switch operations by the contactor and by the safety switch can be considered equal.

A circuit structure is defined as single-channel without supervision (category B or 1) if there are only an Input component (switch) and an Output (contactor) component. In case a failure on one of the two devices the safety function is not guaranteed anymore. No measures for fault detection have been applied.

Device data:
- SS1 (FX 693-M2) is a switch with positive opening (in accordance with EN 60947-5-1, Annex K). The switch is a well-tried component according to EN ISO 13849-2 table D.4. The $B_{10D}$ value of the device supplied by the manufacturer is equal to 2,000,000 switching operations.
- KM1 is a contactor operated at nominal load and is a well-tried component in compliance with EN ISO 13849-2, table D.4. The $B_{10D}$ value of this component is equal to 1,300,000 switching operations. This value results from the tables of the applicable standard (see EN ISO 13849-1, table C.1).

Assumption of the frequency of use
- It is assumed that the equipment is used for a maximum of 365 days per year, for three shifts of 8 hours and 600 s cycle time. For the switch, the number of switching operations per year is equal to maximum $N_{op}=(365\times24\times3,600)/600=52,560$.
- It is assumed that the start button is operated every 300 seconds. Therefore, the maximum number of switching operations per year is equal to $n_{op/year}=105,120$.
- The contactor KM1 is actuated both for the normal start-stop of the machine as well as for the restart after a guard opening. $n_{op/year}=52,560+105,120 = 157,680$

MTTF$_D$ calculation
The MTTF$_D$ of the SS1 switch is equal to: $MTTF_D = \frac{B_{10D}}{0.1 \times n_{op}} = \frac{2,000,000}{0.1 \times 52560} = 381$ years.

The MTTF$_D$ of the KM1 contactor is equal to: $MTTF_D = \frac{B_{10D}}{0.1 \times n_{op}} = \frac{1,300,000}{0.1 \times 157680} = 82$ years.

Therefore, the MTTF$_D$ of the single-channel circuit is equal to: $1/(1/381+1/82) = 67$ years.

Diagnostic Coverage DC$_{avg}$
No measures for fault detection have been applied and there is therefore no diagnostic coverage, a permissible condition for the circuit in question that is in category 1.

CCF Common Cause Failures
The CCF calculation is not required for category 1 circuits.

PL determination
Using the graph or the figure no. 5 it can be verified that for a Category 1 circuit with MTTF$_c=95$ years the resulting PL of the control circuit is PL_c. The PL_target is therefore achieved.
EXAMPLE 2
Application: Emergency stop control

Description of the safety function
The operation of one of the emergency devices causes the intervention of the safety module and the two contactors KM1 and KM2. The signal of the devices ES1, ES2, ES3 is redundantly read by the CS safety module. The contactors KM1 and KM2 (with forcibly guided contacts) are monitored by the CS via the feedback circuit too.

Device data:
- The devices ES1, ES2, ES3 (FD 978-M2) are rope switches for emergency stop with positive opening. The $B_{10D}$ value is equal to 2,000,000 (see page 271)
- KM1 and KM2 are contactors operated at nominal load. The $B_{10D}$ value is 1,300,000 (see EN ISO 13849-1 - Table C.1)
- CS is a safety module (CS AR-20) with MTTF$_D$=225 years and DC= High
- The circuit structure is two-channel in category 3

Assumption of the frequency of use
- Twice a month, n$_f$/year = 24
- Start button actuation: 4 times a day
- Assuming 365 working days, the contactors will take action $4 \times 365 + 24 = 1484$ times / year
- The switches will be operated with the same frequency.
- It is not expected that multiple buttons will be pressed simultaneously.

MTTF$_D$ calculation
- MTTF$_{D,ES1,ES2,ES3}$ = 833,333 years
- MTTF$_{D,KM1,KM2}$ = 8760 years
- MTTF$_{D,CS}$ = 225 years
- MTTF$_{D,CH1}$ = 219 years. The value must be limited to 100 years. The channels are symmetric, therefore MTTF$_D$=100 years (High)

Diagnostic Coverage DC$_{avg}$
- The contacts of KM1 and KM2 are monitored by the CS module via the feedback circuit. DC=99% (High)
- The safety module CS AR-20 is provided with a “High” diagnostic coverage.
- Not all failures in the series of emergency devices can be detected. The diagnostic coverage is 90% (Medium)

CCF Common Cause Failures
We assume a score $>$ 65 (acc. to EN ISO 13849-1 - Annex F).

PL determination
A circuit in category 3 with MTTF$_D$=High and DC$_{avg}$= High can reach a PL e.
**EXAMPLE 3**  
*Application: Guard monitoring*

![Diagram of guard monitoring system]

**Description of the safety function**  
The guard opening causes the intervention of the switches SS1 and SS2 and, by consequence, of the safety module and the KM1 and KM2 contactors too.  
The signal of the devices SS1, SS2 is redundantly monitored by the CS safety module.  
The switches have different operating principles.  
The contactors KM1 and KM2 (with forcibly guided contacts) are monitored by the CS via the feedback circuit too.

**Device data:**  
- The switch SS1 (FR 693-M2) is a switch with positive opening. The B10D value is 2,000,000.  
- The switch SS2 (FR 1896-M2) is a hinge switch with positive opening. B10D = 5,000,000.  
- KM1 and KM2 are contactors operated at nominal load. B10D = 1,300,000 (see EN ISO 13849-1 - Table C.1).  
- The CS modules are safety modules (CS AR-01) with MTTFd=227 years and DC= High.

**Assumption of the frequency of use**  
365 days/year, 16 h/day, 1 action every 4 minutes (240 s). n_/year = 87,600.

**MTTF<sub>d</sub> calculation**  
- MTTF<sub>d(SS1)</sub> = 228 years  
- MTTF<sub>d(SS2)</sub> = 571 years  
- MTTF<sub>d(KM1,KM2)</sub> = 148 years  
- MTTF<sub>d(CS)</sub> = 227 years  
- MTTF<sub>d(CH1)</sub> = 64 years (SS1,CS,KM1)  
- MTTF<sub>d(CH2)</sub> = 77 years (SS2,CS,KM2)  
- MTTF<sub>d</sub>: by calculating the average of the two channels MTTF<sub>d</sub> = 70.7 years (High) is achieved.

**Diagnostic Coverage DC<sub>avg</sub>**  
- SS1, SS2 have DC = 99% since the SS1 and SS2 contacts are monitored by CS and have different operation principles.  
- The contacts of KM1 and KM2 are monitored by the CS module via the feedback circuit. DC=99% (High).  
- CS AR-01 is provided with an internal redundant and self-monitoring circuit. DC = High  
- DC<sub>avg</sub> = High

**PL determination**  
A circuit in category 4 with MTTF<sub>d</sub>=72.1 years and DC<sub>avg</sub>=High corresponds to PL e.
**EXAMPLE 4**
Application: Guard monitoring

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**Description of the safety function**
The opening of a guard triggers the switches SS1 and SS2 on the first guard as well as SS3 and SS4 on the second. The switches trigger the safety module and the contactors KM1 and KM2 too.

The signal of the devices SS1, SS2 and SS3, SS4 is redundantly monitored by the CS safety module. Furthermore, an auxiliary contact of the switch is monitored by the PLC.

The switches have different operating principles.

The contactors KM1 and KM2 (with forcibly guided contacts) are monitored by the CS via the feedback circuit too.

**Device data:**
- The switches SS1, SS3 (FR 693-M2) are switches with positive opening. The $B_{10D}$ value is 2,000,000
- The switches SS2, SS4 (FR 1896-M2) are hinge switches with positive opening. $B_{10D}= 5,000,000$
- KM1 and KM2 are contactors operated at nominal load. The $B_{10D}$ value is 1,300,000 (see EN ISO 13849-1 - Table C.1)
- CS is a safety module (CS AR-05) with $MTTF_D=152$ years and DC= High

**Assumption of the frequency of use**
- 4 times per hour for 24 h/day for 365 days/year equal to $n_{op}/year = 35,040$
- The contactors will operate for twice the number of operations $= 70,080$

**MTTF$_D$ calculation**
- $MTTF_{D_{SS1,SS3}} = 571$ years; $MTTF_{D_{SS2,SS4}} = 1,427$ years
- $MTTF_{D_{KM1,KM2}} = 185$ years
- $MTTF_{D_{CS}} = 152$ years
- $MTTF_{D_{CS1}} = 73$ years (SS1,CS,KM1) / (SS3,CS,KM1)
- $MTTF_{D_{CS2}} = 79$ years (SS2,CS,KM2) / (SS4,CS,KM2)
- $MTTF_D : \text{by calculating the average of the two channels } MTTF_D = 76$ years (High) is achieved

**Diagnostic Coverage DC$_{avg}$**
- The contacts of KM1, KM2 are monitored by the CS module via the feedback circuit. DC=99%
- All auxiliary contacts of the switches are monitored by the PLC. DC=99%
- The CS AR-06 module has a DC= High (see page 271)
- The diagnostic coverage for both channels is 99% (High)

**CCF Common Cause Failures**
- We assume a score $> 65$ (acc. to EN ISO 13849-1 - Annex F).

**PL determination**
- A circuit in category 4 with $MTTF_D=88.8$ years and $DC_{avg}=\text{High}$ corresponds to PL e.
**EXAMPLE 5**
**Application: Guard monitoring**

**Description of the safety function**
The opening of guards triggers the sensors SS1 on the first guard, SS2 on the second and SS3 on the third. The sensors trigger the safety module CS AR-08 and the contactors KM1 and KM2 too. The contactors KM1 and KM2 (with forcibly guided contacts) are monitored by the CS AR-08 via the feedback circuit.

**Device data**
SS1, SS2, SS3 are ST series coded sensors with RFID technology. PFH_D = 1.20E-11, PL = “e”
CS AR-08 is a safety module. PFH_D = 9.73E-11, PL = “e”
KM1 and KM2 are contactors operated at nominal load. B_10D = 1,300,000 (see EN ISO 13849-1 - Table C.1)

**Assumption of the frequency of use**
Each door is opened every 2 minutes, 16 hours a day, for 365 days a year, equal to nop = 175,200

**Definition of the SRP/CS and subsystems**
The SRP/CS consists of 5 subsystems (SB):
SB1,2,3 represent the three ST series RFID sensors
SB4 represents the safety module CS AR-08...
SB5 represents the two contactors KM1 and KM2 in redundant architecture (cat. 4)

**PFHₜ calculation for SB5**
MTTFₜ KM1,KM2 = 74.2 years.
DC = 99%, the contacts of KM1 and KM2 are monitored by the CS safety module via the feedback circuit.
For the CCF parameter we assume a score higher than 65 (acc. to EN ISO 13849-1 - Annex F).
A category 4 circuit with MTTFₜ = 74.2 years (high) and high diagnostic coverage (DC = 99%) corresponds to a failure probability of PFHₜ = 3.4E-08 and a PL “e”.

**Calculation of the total PFHₜ of the SRP/CS**
PFHₜ_{TOT} = PFHₜ_{SB1} + PFHₜ_{SB2} + PFHₜ_{SB3} + PFHₜ_{SB4} + PFHₜ_{SB5} = 3.5E-08
It corresponds to PL “e”.

Calculation example performed with SISTEMA software, downloadable free of charge at [www.pizzato.com](http://www.pizzato.com)
**EXAMPLE 6**  
**Application: Guard monitoring**

**Description of the safety function**

The opening of a guard triggers switches SS1 and SS2 on the first guard and triggers sensor SS3 on the second; the switches trigger the safety module and both contactors KM1 and KM2.

The signals from the SS1, SS2 and SS3 devices are redundantly monitored by the CS MF safety module.

There is also an emergency button which has a two-channel connection with the safety module too.

The contactors KM1 and KM2 (with forcibly guided contacts) are monitored by the CS MF via the feedback circuit too.

**Device data:**

- The switch SS1 (FR 693-M2) is a switch with positive opening. $B_{10D} = 2,000,000$
- The switch SS3 (FR 1896-M2) is a hinge switch with positive opening. $B_{10D} = 5,000,000$
- SS3 (SR AD40AN2) is a magnetic safety sensor. $B_{10D} = 20,000,000$
- SS4 (ES AC31005) is a housing with emergency button (E2 1PERZ4531) provided with 2 NC contacts. $B_{10D} = 600,000$
- KM1 and KM2 are contactors operated at nominal load. $B_{10D} = 1,300,000$ (see EN ISO 13849-1 - Table C.1)
- CS MF201M0-P1 is a safety module with $MTTF_D=842$ years and $DC=99\%$

**Assumption of the frequency of use**

- Each door is opened 2 times per hour for 16 h/day for 365 days/year equal to $n_{op}$/year = 11,680
- It is assumed that the emergency button is actuated at a maximum of once a day, $n_{op}$/year = 365
- The contactors will operate for twice the number of operations = 23,725

**$MTTF_D$ calculation**

**Guard SS1/SS2**

- $MTTF_{D,SS1,SS2} = 1,712$ years
- $MTTF_{D,SS2,SS4} = 4,281$ years
- $MTTF_{D,SS3,CS,KM1} = 548$ years
- $MTTF_{D,CS} = 842$ years
- $MTTF_{D,CH1} = 278$ years (SS1,CS,KM1)
- $MTTF_{D,CH2} = 308$ years (SS2,CS,KM2)
- $MTTF_{D} = \text{by calculating the average of the two channels } MTTF_D = 293$ years is achieved

**Guard SS3**

- $MTTF_{D,SS3} = 17,123$ years
- $MTTF_{D,CS,KM1,KM2} = 548$ years
- $MTTF_{D,CS} = 842$ years
- $MTTF_{D} = 325$ years

**Emergency button SS4**

- $MTTF_{D,SS4} = 16,438$ years
- $MTTF_{D,SS3} = 548$ years
- $MTTF_{D,CS} = 842$ years
- $MTTF_{D} = 325$ years

**Diagnostic Coverage $DC_{avg}$**

- The contacts of KM1, KM2 are monitored by the CS MF module via the feedback circuit. $DC=99\%$
- For the devices SS1, SS2 and SS3 it is possible to detect all faults. $DC=99\%$
- The CS MF201M0-P1 module has a $DC=99\%$
- We assume a diagnostic coverage of $99\%$ (High)

**CCF Common Cause Failures**

- We assume a score $>65$ (acc. to EN ISO 13849-1 - Annex F).

**PL determination**

- A circuit in category 4 with $MTTF_D=\text{High}$ and $DC_{avg}=\text{High}$ corresponds to PL e.
- The safety functions associated to the guards SS1/SS2, SS3 and the emergency button present the level PL e.

Any information or application example, connection diagrams included, described in this document are to be intended as purely descriptive.

The choice and application of the products in conformity with the standards, in order to avoid damage to persons or goods, is the user’s responsibility.
EXAMPLE 7
Application: Guard monitoring

Reference standard EN ISO 13849-1
Safety category 4
Performance Level PL e
Description of the safety function

Every machine is divided into 3 different zones. The access to each zone is monitored by the guards and 4 emergency buttons are present too.

The operation of an emergency button will trigger the CS MP safety module as well as the forcibly guided contactors KMA1/2, KMB1/2 and KMC1/2, and will therefore stop all motors.

The opening of a guard in zone A triggers the devices SS5 or SS6 and, as a consequence, the CS MP safety module as well as the contactors KMA1 and KMA2, and therefore also the stop of the MA motor. The devices SS5 and SS6 are connected to the CS MP safety module separately, with a two-channel connection.

The opening of the guard in zone B triggers the device SS7 and, as a consequence, the CS MP safety module as well as the contactors KMB1 and KMB2, and therefore also the stop of the MB motor. The SS7 hinge is provided with two OSSD outputs and is redundantly controlled by the CS MP safety module.

The opening of a guard in zone C triggers the devices SS8, SS9 or SS10 and, as a consequence, the safety module as well as the contactors KMC1 and KMC2, and therefore also the stop of the MC motor. The sensors SS8, SS9 and SS10 are interconnected via the OSSD outputs and are redundantly monitored by the CS MP safety module.

Device data

- SS1, SS2, SS3 and SS4 (ES AC31005) are emergency buttons (E2 1PERZ4531) provided with 2 NC contacts. $B_{\text{ned}} = 600,000$ (see page 333)
- SS5 and SS6 (SR AD40AN2) are magnetic safety sensors. $B_{\text{ned}} = 20,000,000$
- SS7 (HX BEE1-KSM) is a safety hinge with OSSD outputs. $MTTF_d = 4,077$ years / DC=99%
- SS8, SS9 and SS10 (ST DD310MK-D1T) are safety sensors with RFID technology and OSSD outputs. $MTTF_d = 4,077$ years / DC=99% (see page 333)
- KMA, KMB and KMC are contactors operated at nominal load. $B_{\text{ned}} = 1,300,000$ (see EN ISO 13849-1 - Table C.1)
- CS MP202M0 is a safety module with $MTTF_d=2035$ years / DC=99%

Assumption of the frequency of use

- Each door of zone A is opened 2 times per hour for 16 h/day for 365 days/year equal to $n_{\text{op}}$/year = 11,680. The contactors will operate for twice the number of operations = 23,360
- The door of zone B is opened 4 times per hour for 16 h/day for 365 days/year equal to $n_{\text{op}}$/year = 23,360. The contactors will operate for a given number of operations = 23,360
- Each door of zone C is opened 1 times per hour for 16 h/day for 365 days/year equal to $n_{\text{op}}$/year = 5,840. The contactors will operate for a given number of operations = 17,520
- It is assumed that the emergency button is actuated at a maximum of once a week, $n_{\text{op}}$/year = 52
- Fault Exclusion: since it is assumed that the pairs of contactors, connected in parallel to the respective safety outputs, are wired permanently within the switching cabinet, the possibility of short-circuit between +24V and the contactors is excluded (see Table D.4, item D.5.2 of EN ISO 13849-2).

MTTFd calculation

- Emergency buttons
  - $MTTF_d$ SS1/SS2/SS3/SS4 = 115,384 years
  - $MTTF_d$ CS = 2035 years
  - $MTTF_d$ KMC1,KMC2 = 742 years
  - $MTTF_d$ e-stop = 541 years
- Guards, zone A
  - $MTTF_d$ SS5/SS6 = 12,123 years
  - $MTTF_d$ CS = 2035 years
  - $MTTF_d$ KMA1,KMA2 = 556 years
  - $MTTF_d$ A = 425 years (SS5/SS6/CS/KMA)
- Guards, zone B
  - $MTTF_d$ SS7 = 4,077 years
  - $MTTF_d$ CS = 2035 years
  - $MTTF_d$ KMB1,KMB2 = 556 years
  - $MTTF_d$ B = 394 years (SS7/CS/KMB)
- Guards, zone C
  - $MTTF_d$ SS8/SS9/SS10 = 4,077 years
  - $MTTF_d$ CS = 2035 years
  - $MTTF_d$ KMC1,KMC2 = 742 years
  - $MTTF_d$ C = 479 years (SS8/SS9/SS10/CS/KMC)

Diagnostic Coverage DCavg

- The contacts of KMA, KMB and KMC are monitored by the CS MP module via the feedback circuit. DC=99%
- All faults in the various devices can be detected. DC=99%
- The CS MP202M0 module has a DC=99%
- The result is a diagnostic coverage of 99% for each function

CCF Common Cause Failures

- We assume a score > 65 for all safety functions (acc. to EN ISO 13849-1 - Annex F).

PL determination

- A circuit in category 4 with $MTTF_d=$High and $DC_{avg}=$ High corresponds to PL e.
- All safety functions associated to the guards and the emergency buttons have PL e.
EXAMPLE 8
Application: Guard monitoring

Reference standard EN ISO 13849-1
Performance Level - Safety function 1  PL e
Performance Level - Safety function 2  PL d
Description of the safety function
Interlocking devices SS1, SS2 and SS3 perform two safety functions: monitoring the locked state and locking the guard. Once the guards have been released, the three sensors trigger the safety module and the contactors KM1 and KM2 too. The contactors KM1 and KM2 (with forcibly guided contacts) are monitored by the CS AR-08 via the feedback circuit. The interlock command on the three devices SS1, SS2 and SS3 is maintained until the motor standstill monitoring module CS AM-01 detects the actual stopping of movement.

Device data
SS1, SS2, SS3 are NS series coded interlock devices with RFID technology, with guard locking device. Locked protection detection function PFH_D = 1.22E-09 PL = “e”, operating of locking control PFH_D = 2.29E-10 PL = “e”.
CS AR-08 is a safety module, PFH_D = 9.73E-11, PL = “e”.
CS AM-01 is a safety module for motor standstill monitoring, PFH_D = 8.70E-09, PL = “d”.
KM1 and KM2 are contactors operated at nominal load. B10_D = 1.300.000 (see EN ISO 13849-1 - Table C.1)

Assumption of the frequency of use
Each door is opened every 10 minutes, 16 hours a day, for 365 days a year, equal to n_op/year = 35,040

Definition of the SRP/CS and subsystems
This application example presents two safety functions:
1. Safety-related stop function initiated by a protective measure
2. Maintaining the protection guard interlock with M motor in motion
The safety function 1 is performed by an SRP/CS consisting of 5 subsystems (SB):
- SB11,12,13 represent the three RFID interlock devices of the NS series: SS1, SS2 and SS3
- SB14 represents the safety module CS AR-08
- SB15 represents the two contactors KM1 and KM2 in redundant architecture (cat. 4)
The safety function 2 is performed by 2 subsystems (SB):
- SB21 represents the CS AM-01 safety module for motor standstill monitoring
- SB22 represents the three NS series RFID interlock devices

Calculation example performed with SISTEMA software, downloadable free of charge at www.pizzato.com
7 - Positive opening, redundancy, diversification and self-monitoring

Positive mode and negative mode.
According to the standard EN ISO 12100, if a moving mechanical component inevitably moves another component along with it, either by direct contact or via rigid elements, these components are said to be connected in the positive mode. Instead, if the movement of a mechanical component simply allows another element to move freely, without using direct force (for example by gravity force, spring effect, etc.), that connection is said to be connected in the negative mode.

With positive mode, preventive maintenance can be performed, thereby avoiding the dangerous failures described above. With negative mode, on the other hand, failures can occur within the switch and are therefore difficult to detect.

In the event of an internal failure (welded contacts or a damaged spring), the contacts will still open in positive mode in spite of the damage and the machine will be stopped.
Use of switches in safety applications
If only one switch is used in a safety application, the switch must be actuated in positive mode. In order to be used for safety applications, the opening contact (normally closed) must be with “positive opening”. All switches with the symbol are provided with NC contacts with positive opening.

Diversification
In redundant systems, safety is increased through diversification. This can be obtained by using two switches with different design and/or technology; failures with the same cause can thereby be prevented. Some examples of diversification are: the use of a switch working with positive switching mode combined with another working in negative switching mode; a switch with mechanical actuation combined with another with non-mechanical actuation (e.g. electronic sensor); two switches, both with mechanical actuator working in positive mode but with a different actuation principle (e.g. a key switch FR 693-M2 combined with a pin switch FR 1896-M2).

Redundancy
Redundancy implies the use of more than one device or system to make sure that, in case of a failure in one device, there is another one available to perform the required safety functions. If the first failure is not detected, an additional failure may lead to the loss of the safety function.

Self-monitoring
Self-monitoring consists in an automatic control performed to check the functioning of all devices involved in the machine working-cycle. This way the next working cycle can be either accepted or rejected.

Redundancy and self-monitoring
Combining redundancy and self-monitoring in the same system makes sure that a first failure in the safety circuit does not lead to the loss of safety functions. This first failure will be detected at the next re-start or, in any case, before a second failure which may lead to the loss of the safety function.